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CERTIFICATE OF EXPRESS MAIL (37 CFR 1.10)

Label No. EF227335737US

I hereby certify that the attached papers and/or fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date shown below in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington D.C. 20231.

January 16, 2002
Date

George A. Gilbert
Print Name

Signature

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b).)

Attorney Docket No.

100507

First Inventor or Application Identifier

PIERSON, Edward

Title

Therapeutic Heterocyclic Compounds

Express Mail Label No.

EF227335737US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner For Patents
Box Patent Application
Washington, DC 20231

1. ☒ **FEE TRANSMITTAL FORM**
(Submit an original, and a duplicate for fee processing).
2. ☒ **SPECIFICATION**
Total Pages 147 pages (140 pages of specification, 6 pages of claims and one page of Abstract).
3. ☐ **DRAWING(S)** (35 USC 113)
Total sheets _____
4. ☐ **OATH OR DECLARATION**
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d).
(for continuation/divisional with Box 15 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ **Incorporation By Reference** (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ **Microfiche Computer Program (Appendix)**
7. ☐ **Nucleotide and/or Amino Acid Sequence Submission**
(If applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
11. ☐ Preliminary Amendment
12. ☒ **Return Receipt Postcard (MPEP 503)**
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Other: _____

15. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior application information: Examiner _____ Group/Art Unit _____

16. CORRESPONDENCE ADDRESS

Name	ASTRAZENECA PHARMACEUTICALS LP OW 1 R&D Patent and Trademark Administrator				
Address	1800 Concord Pike P.O. Box 15437				
City	Wilmington	STATE	Delaware	ZIP CODE	19850-5437
Country	United States	TELEPHONE	(302) 886-3726	FAX	(302) 886-8221

16. AMENDMENTS

- ☐ Amend the specification by inserting before the first line the sentence:
--This is a continuation of pending application Serial No. filed --
- ☐ Cancel without prejudice in this application original claims of the prior application before calculating the filing fee.

17. FEE CALCULATION

Claims as filed, less any canceled by amendment above.

	No. Filed		No. Extra	Rate	Fee
BASIC FEE					\$740.00
Total Claims	9	- 20 =		x \$18 =	
Indep. Claims	9	- 3 =	6	x \$84 =	504.00
Mult. Dep. Claims				\$280 =	
				TOTAL FILING FEE	\$1244.00

18. PAYMENT OF FEES

- ☐ No filing fee is to be paid at this time.
- ☒ Charge Account No. 26-0166 in the amount of \$1244.00. This transmittal is enclosed in duplicate.

19. AUTHORIZATION TO CHARGE ADDITIONAL FEES

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to **Deposit Account No. 26-0166**.

20. PRIORITY - 35 U.S.C. 119

- ☒ Priority of application is claimed under 35 U.S.C. 119 from application No. 0103650-8 filed on November 11, 2001 in Sweden and from U.S. Provisional Patent Application No.: 60/262,107 filed on January 16, 2001.
- ☐ The certified copy has been filed in prior application Serial No. filed on .

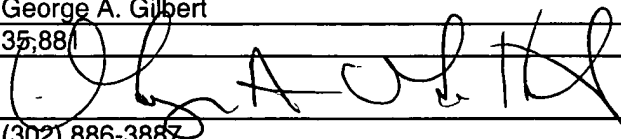
21. ASSIGNMENT

- ☐ The prior application is assigned of record to ZENECA Limited (Reel , Frame).
- ☒ An assignment of the invention will be sent at a later time.

22. POWER OF ATTORNEY

- ☒ Will be sent at a later time
- ☐ The power appears in the original papers in the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- ☐ A new power has been executed and is attached.
- ☐ A copy of the Associate Power of Attorney in the prior application is attached.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Name	George A. Gilbert
Registration No.	35,881
Signature	
Telephone No.	(302) 886-3887
Date	January 16, 2002